



SAPIENZA  
UNIVERSITÀ DI ROMA

 Istituto dei Sistemi Complessi

# OPTICAL RESPONSE OF JOSEPHSON-LIKE PLASMA WAVES IN SUPERCONDUCTORS

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<http://www.roma1.infn.it/~lbenfat/>

QuanDi 2023- 6 June 2023



More-TEM

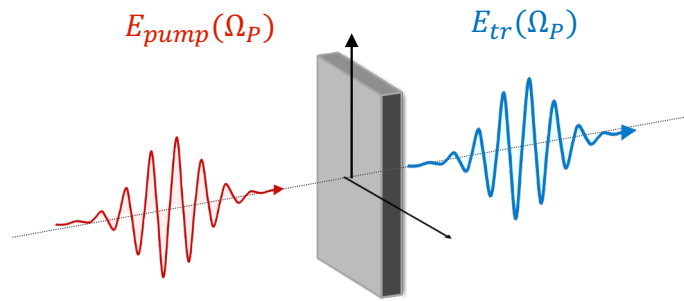
Spectroscopy of superconductors at strong THz fields

“Natural” non-linearity of (bulk) Josephson plasmons

$$H[\theta] = -J_s \sum_j \cos(\theta_{j+1} - \theta_j)$$

“Less trivial” non-linearity within a microscopic picture  
(fermionic vs bosonic, clean vs disordered, ...)

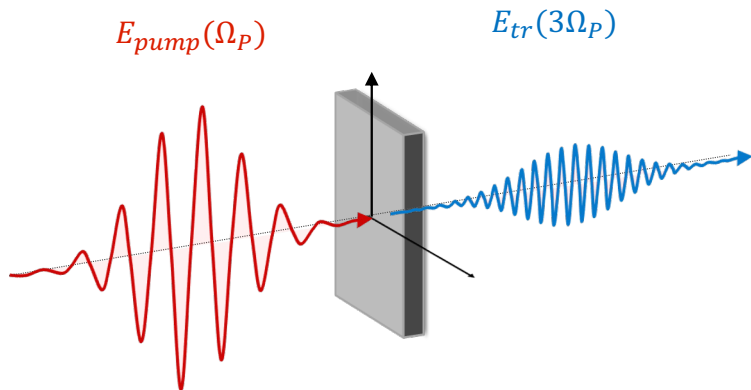
# Non-linear response in strong THz fields: Third-Harmonic Generation (THG)



Linear response: *weak* perturbation, one measures the **optical-active** excitations of the system

$$J^L \sim Z \chi E$$

Non-linear response: *strong* perturbation, one can access **Raman-like** excitations of the system

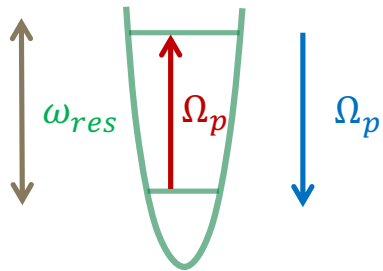


$$J^{NL} \sim E R K E^2$$

Example: phonon mode Q coupled to light

$$H_{int} = -ZQ_{IR}E - RQ_R E^2$$

## Non-linear response in strong THz fields: Third-Harmonic Generation (THG)

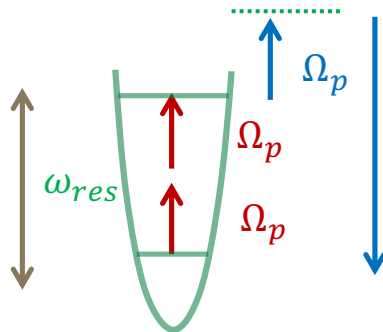


Enhanced when  $\Omega_p \approx \omega_{res}$   
 $\chi(\omega)$  singular at  $\omega_{res}$

Linear response: *weak* perturbation, one measures the **optical-active** excitations of the system

$$J^L \sim Z \chi E$$

Non-linear response: *strong* perturbation, one can access **Raman-like** excitations of the system



Enhanced when  $2\Omega_p \approx \omega_{res}$   
 $K(2\omega)$  singular at  $\omega_{res}$

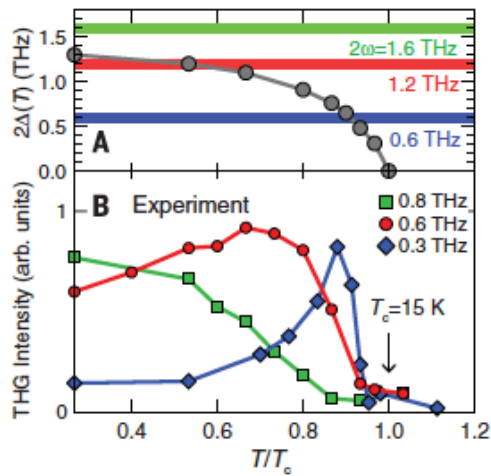
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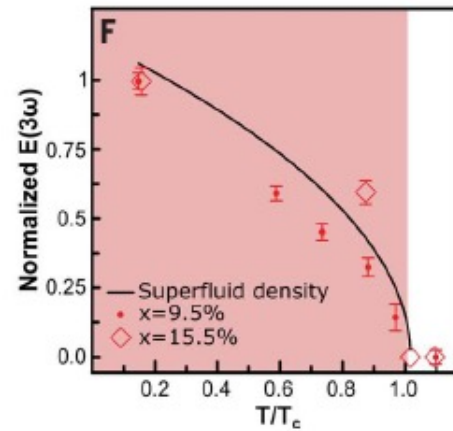
## Superconductors show large THG

- Several experiments in conventional superconductors, like NbN and MgB2, and unconventional superconductors, like cuprates and pnictides



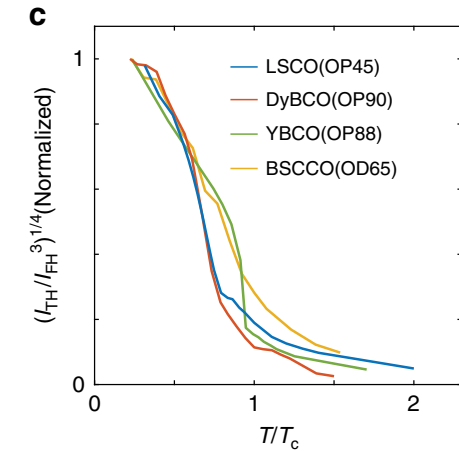
R. Matsunaga et al.,  
Science 345, 1145 (2014)

NbN



S. Rajasekaran et al.  
Science 359, 575 (2018)

$\text{La}_{2-x}\text{Ba}_x\text{SrCuO}_4$   
z-axis polarization



H. Chu et al.  
Nat. Comm. 11, 1793 (2020)

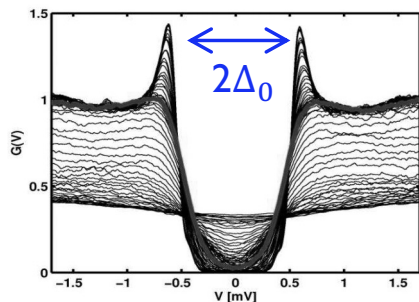
Cuprates  
 $\text{CuO}_2$  plane polarization

## Superconductors show large THG

- Several experiments in conventional superconductors, like NbN and MgB<sub>2</sub>, and unconventional superconductors, like cuprates and pnictides
- Below T<sub>c</sub> the quasiparticle spectrum changes due to gap opening, new collective modes emerge, connected to the amplitude (Higgs) and phase (Plasmon) of the order parameter

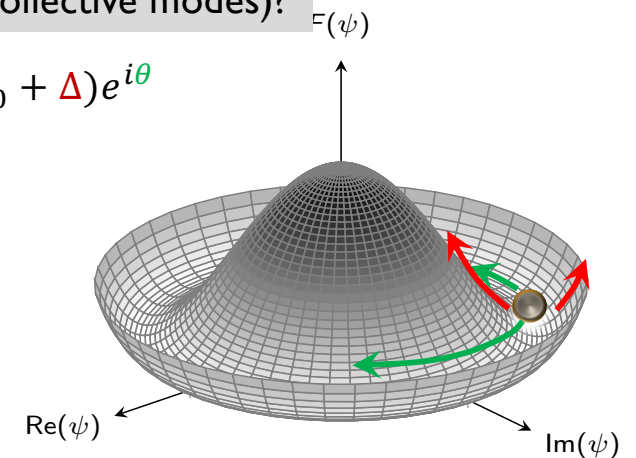
Why non-linearity should be so strong in superconductors?

Can we really separate fermionic effects (quasiparticles) from bosonic ones (collective modes)?



$$E_k = \sqrt{\xi_k^2 + \Delta_0^2}$$

$$\langle c_{\uparrow} c_{\downarrow} \rangle = \psi = (\Delta_0 + \Delta) e^{i\theta}$$



## Non linearity of Josephson plasmons

- In a superconductor the e.m. field couples to the phase degrees of freedom

- Interacting* phase-only model: a non-linear coupling to light emerges naturally

$$A \rightarrow 2eA/c \quad H[\theta] = -J_s \sum_j \cos(\theta_{j+1} - \theta_j) \quad \longrightarrow \quad H[\varphi, A] = -J_s \sum_j \cos(\varphi_j - A) \quad \varphi_j = \theta_{j+1} - \theta_j$$

Effective coarse-grained model on the scale  $\sim \xi_0$

- Currents depends non-linearly on the gauge-invariant phase

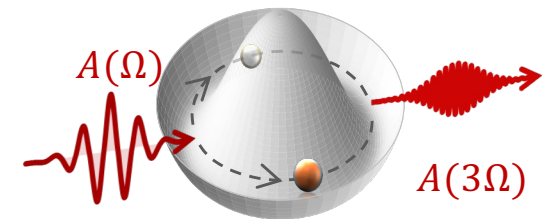
$$J = J_s \sin(\varphi - A)$$

- Linear response: usual superfluid behavior

$$J^L = -J_s A \quad J_s = \frac{(\hbar / 2e)^2}{L_K}$$

- Non-linear response: contribution of exchange of phase fluctuations, i.e. plasmons

$$J^{NL} \sim A J_s^2 \langle \varphi^2 \varphi^2 \rangle A^2 = A K A^2$$



N.B. Here the *same* coupling  $J_s$  controls both linear and non-linear response

## Non linearity of Josephson plasmons

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- One needs to add quantum effects: for a simple non-dispersive plasmon

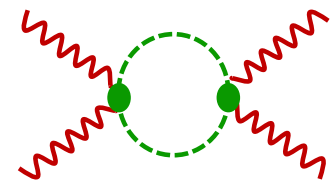
$$S[\varphi, A] = \dot{\varphi}^2 - \omega_J^2 \varphi^2 - J_s \varphi^2 A^2 \quad \omega_J^2 = 4\pi e^2 J_s$$

$$S^{(4)} [A] = J_s^2 A^2 \langle \varphi^2 \varphi^2 \rangle A^2$$

$$J^{NL} \equiv -\frac{\partial S^{(4)}}{\partial A} \sim A J_s^2 \langle \varphi^2 \varphi^2 \rangle A^2 = A K A^2$$

$$K(\omega) \propto \frac{J_s^2 \coth(\beta \omega_J)}{\omega_J (4\omega_J^2 - \omega^2)}$$

$$I^{THG} \propto |K(2\Omega_p)|^2$$



Kernel resonant at  $2\omega_J$  gives enhanced THG at  $\Omega_p \approx \omega_J$

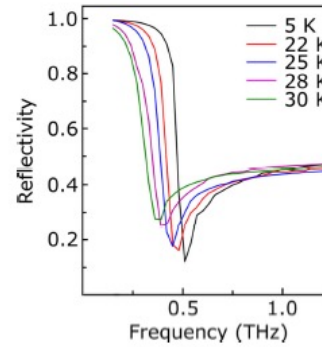
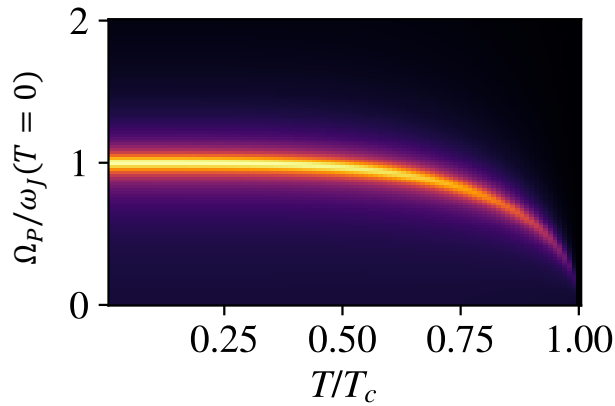


## Non linearity of Josphon plasmons

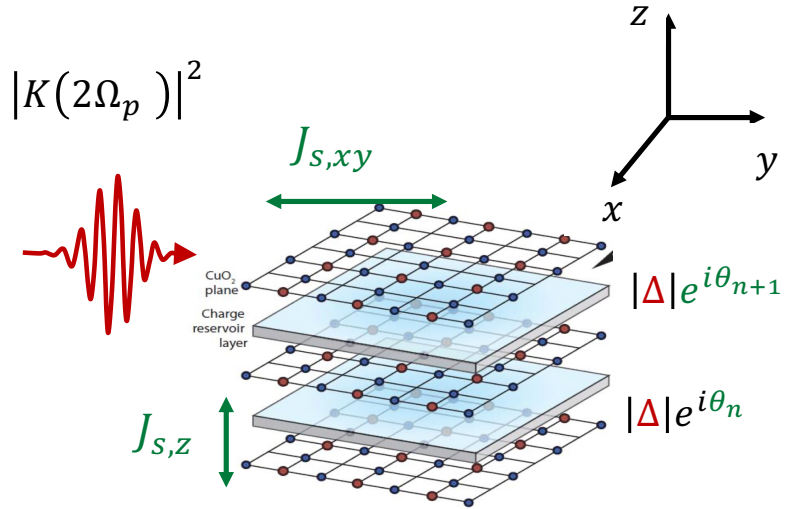
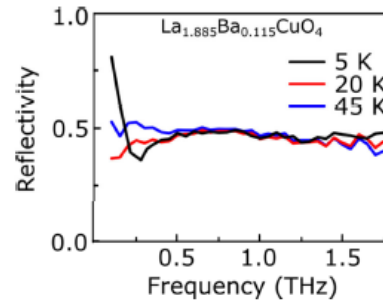
$$J^{NL} \sim AJ_S^2 \langle \varphi^2 \varphi^2 \rangle A^2 = AK A^2$$

$$K(\omega) \propto \frac{J_S^2 \coth(\beta\omega_J)}{\omega_J (4\omega_J^2 - \omega^2)}$$

$$I^{THG} \propto |K(2\Omega_p)|^2$$



$$\omega_{J,z}^2(T) = 4\pi e^2 J_{s,z}(T)$$



La-based single-layer superconductors

$$\omega_{J,z}^2 = 4\pi e^2 J_{s,z}$$

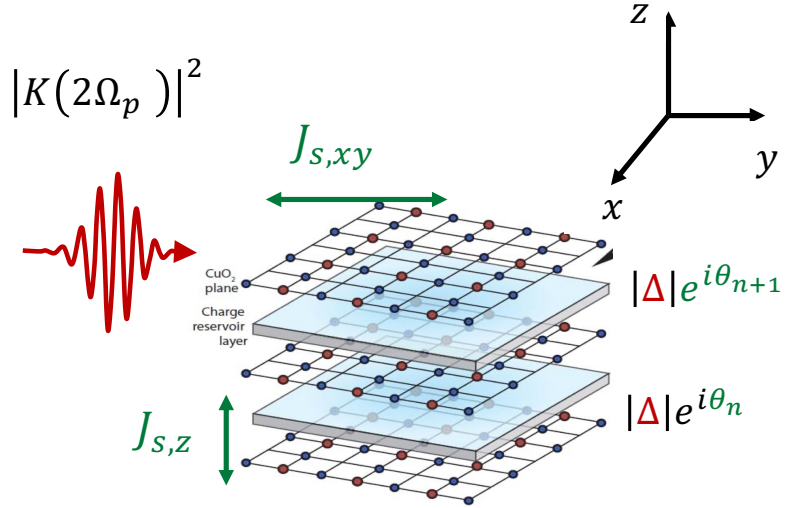
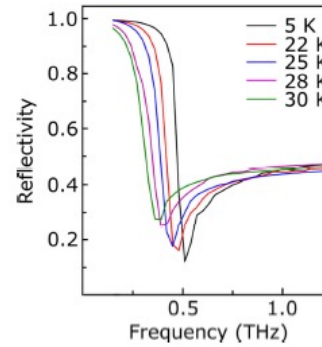
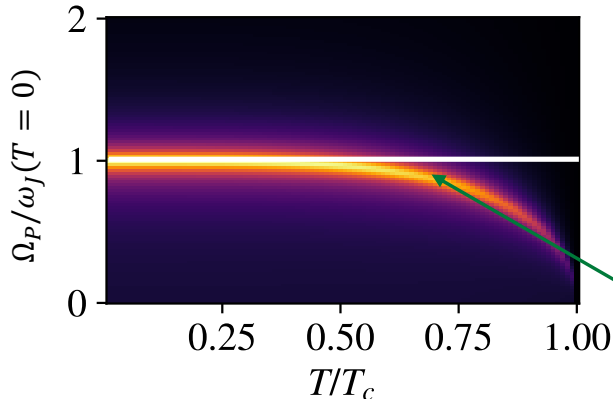
Soft out-of-plane plasmon

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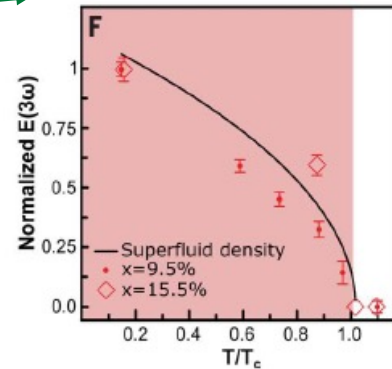
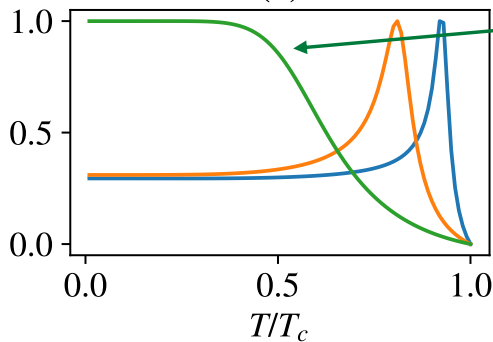


La-based single-layer superconductors

$$\omega_{J,z}^2 = 4\pi e^2 J_{s,z}$$

Soft out-of-plane plasmon

(c)



F. Gabriele, M. Udina and L. B., Nat. Comm. 12, 752 (2021)

J. Fiore et al, preprint 2023

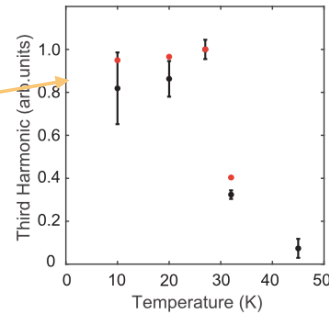
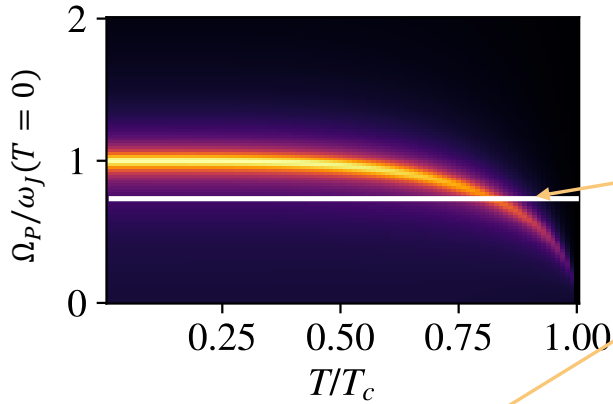
S. Rajasekaran et al.  
Science 359, 575 (2018)

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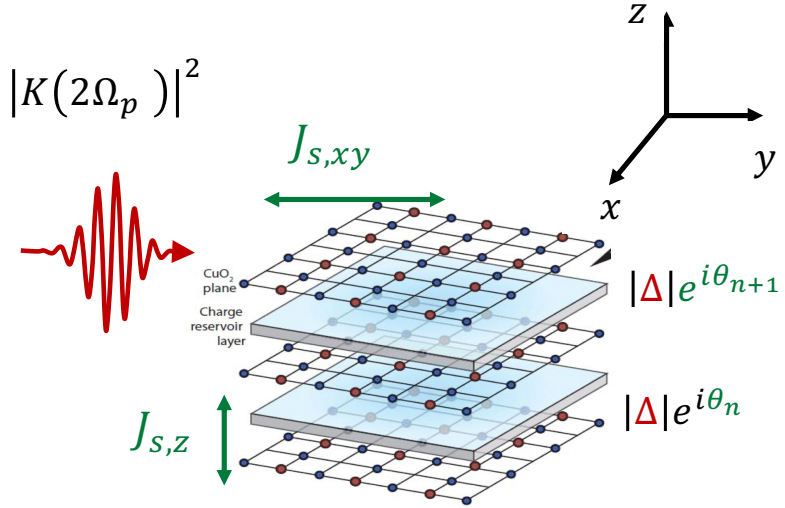
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K. Kai et al., PRB 107, L140504 (2023)

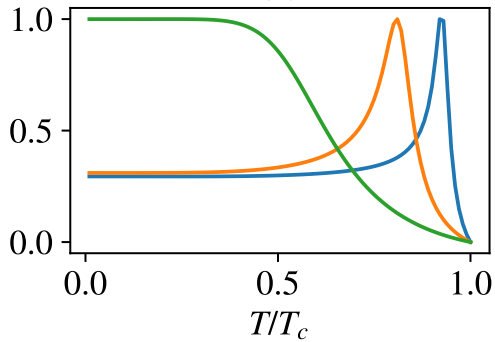


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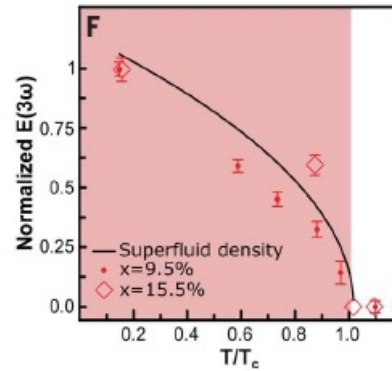
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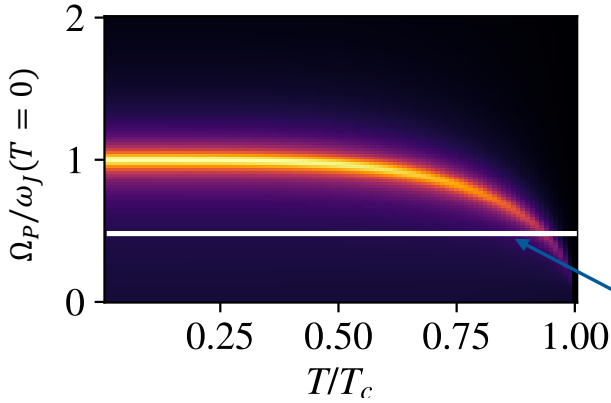
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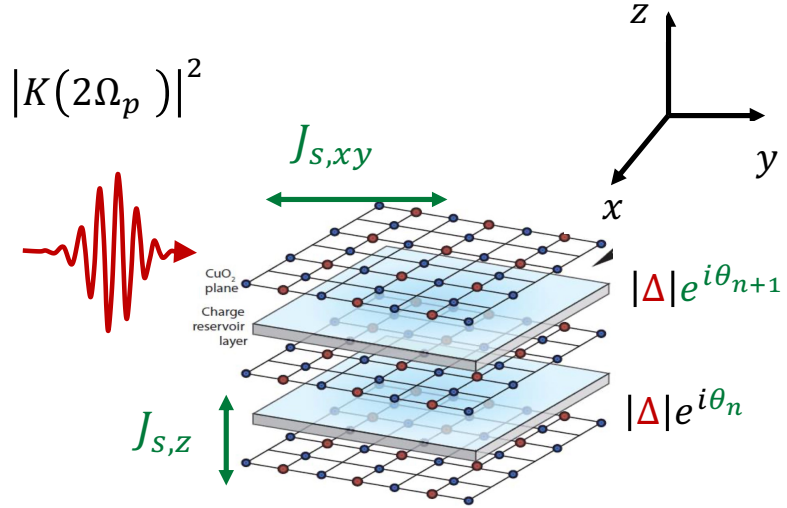
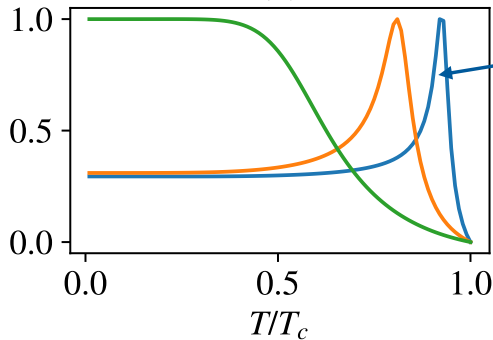
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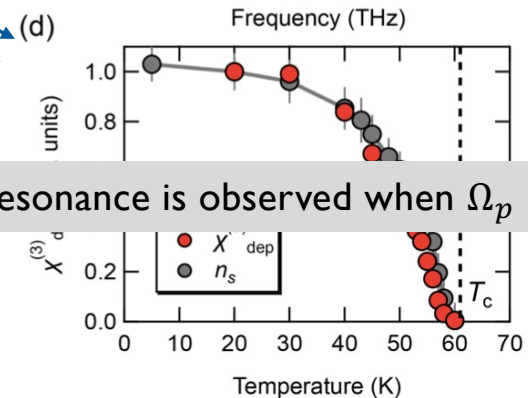
(c)



Y-based two-layers superconductor

$$\omega_{J,z}^2 = 4\pi e^2 J_{s,z}$$

Soft out-of-plane plasmon



No resonance is observed when  $\Omega_p = \omega_{J,z}(T)$

Why the result should change with the number of layers?

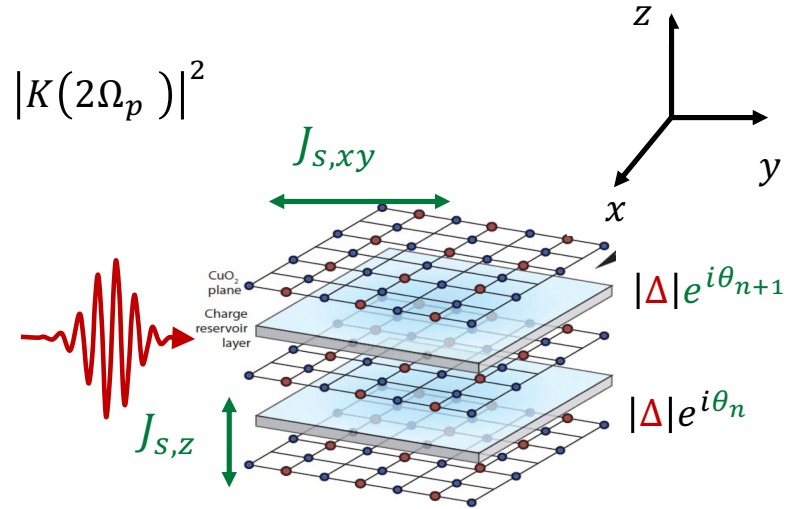
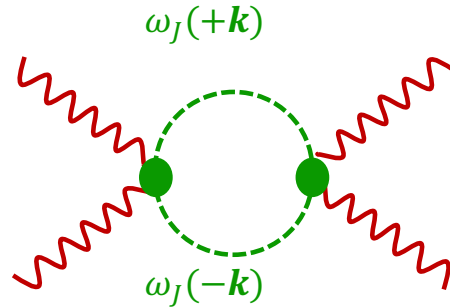
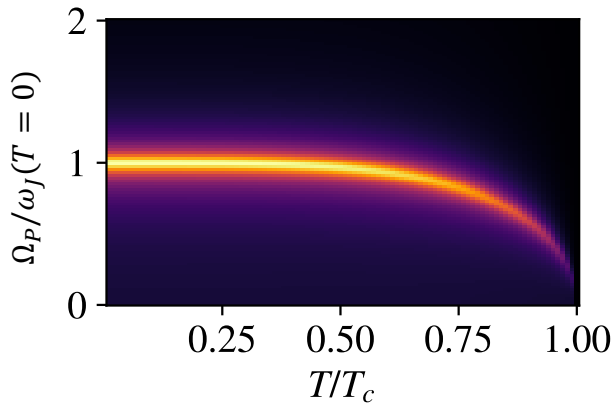
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## Non linearity of Josphson plasmons

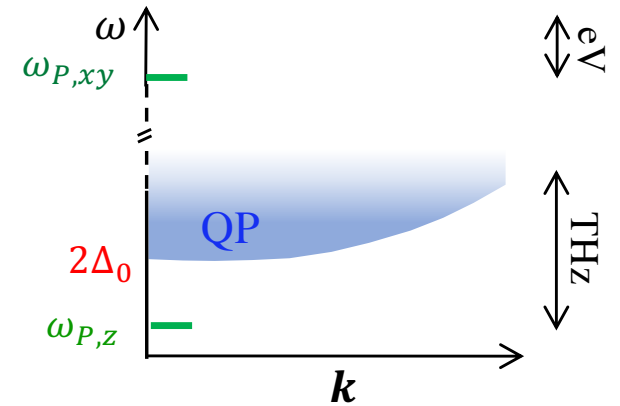
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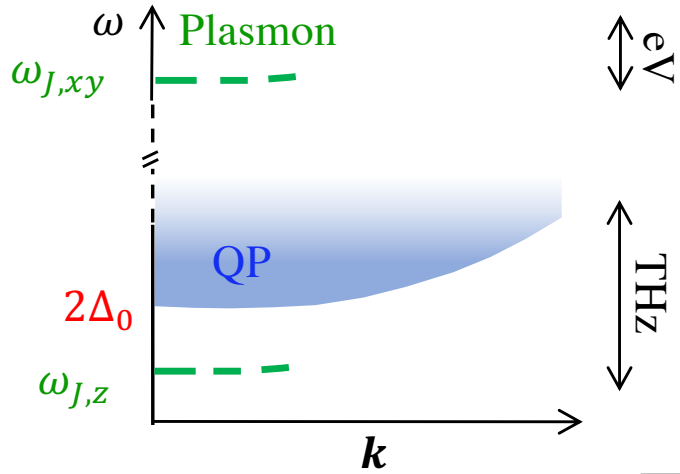
$$I^{THG} \propto |K(2\Omega_p)|^2$$



We have in mind excitation of two plasmons with opposite and **arbitrary momenta  $\mathbf{k}$**   
 We should account for **dispersion** of plasmons in a layered system, where the soft out-of-plane plasmon mixes with the large in-plane one

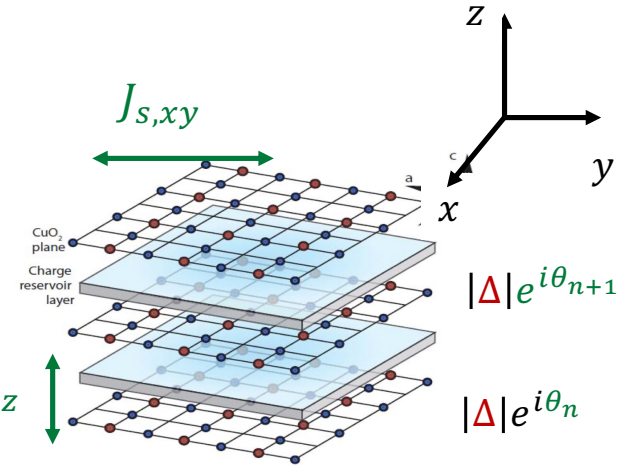


# Plasma waves in *layered* superconductors



$$\omega_{J,xy}^2 = 4\pi e^2 J_{S,xy} \quad k_z = 0, k_{xy} \rightarrow 0$$

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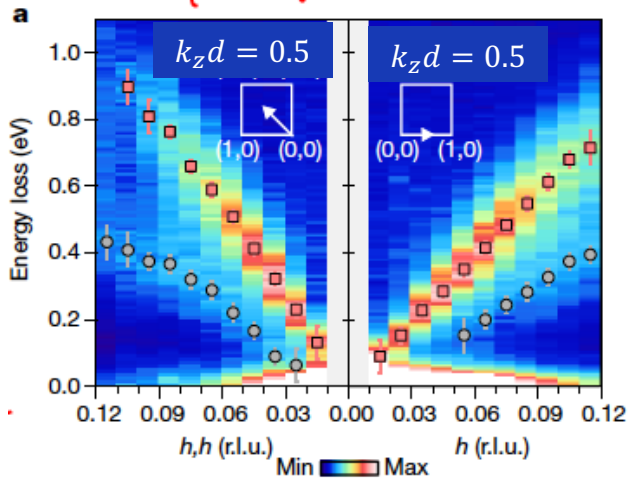
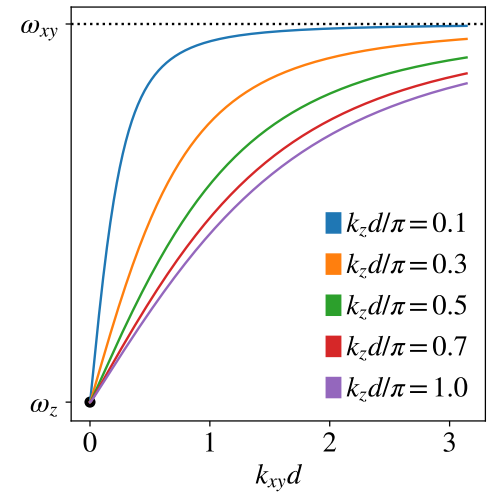


Stiffness anisotropy reflects in plasma-waves anisotropy

$$\omega_L^2 = \omega_{J,xy}^2 \frac{k_{xy}^2}{k^2} + \omega_{J,z}^2 \frac{k_z^2}{k^2}$$

N.B. This expression fails as  $k \rightarrow 0$  ...

F. Gabriele, C. Castellani and L.B. PRR 4, 023112 (2022)  
 F. Gabriele, C. Castellani and L.B., preprint 2023



M. Hepting et al., Nature 563, 374 (2018)

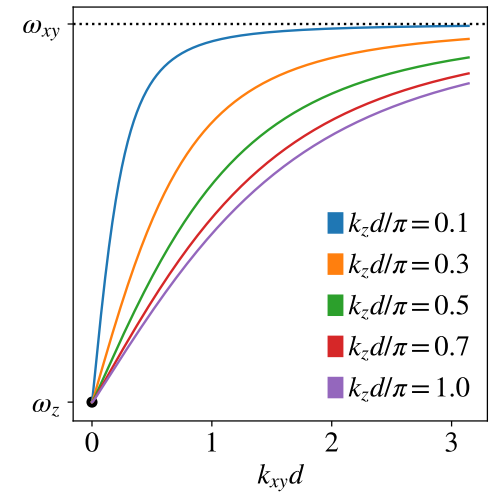
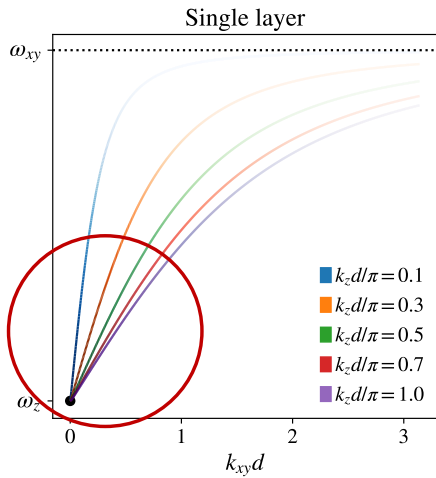
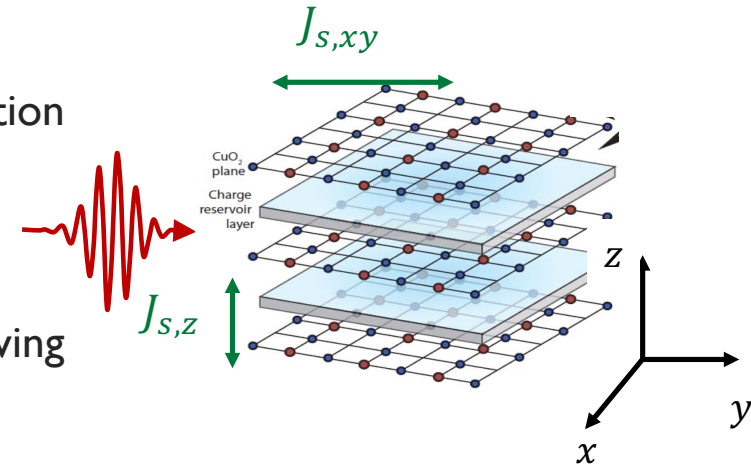
## Plasmon dispersion and polarization effects

- Light polarized along c couples to phase gradient along the same direction

$$H[\theta] = - \sum_{xy,z} J_{s,z} \cos(\theta_{r+z} - \theta_r - A_{ext}) + J_{s,xy} \cos(\theta_{r+xy} - \theta_r)$$

- Polarization projects out the anisotropic 3D dispersion at small  $k_z$ , leaving most of the spectral weight around  $\omega_z$

$$K(\omega) \sim J_z^2 \sum_{\mathbf{k}} \frac{k_z^4}{|\mathbf{k}|^4} \frac{\coth(\beta \omega_L(\mathbf{k}))}{\omega_L(\mathbf{k}) (4\omega_L^2(\mathbf{k}) - (\omega + i\gamma)^2)}$$

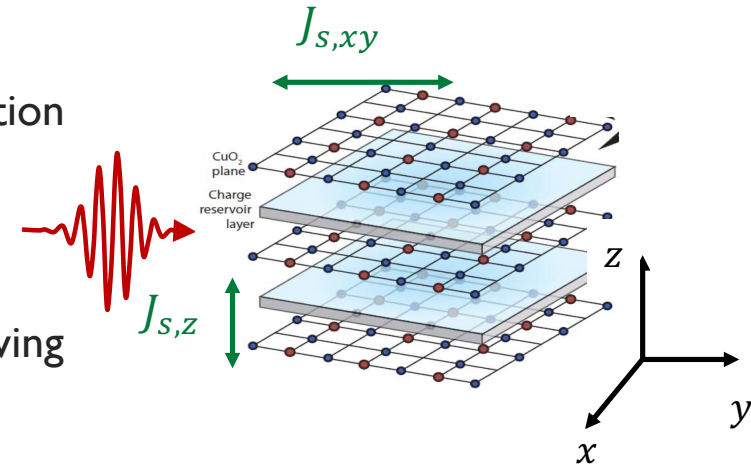


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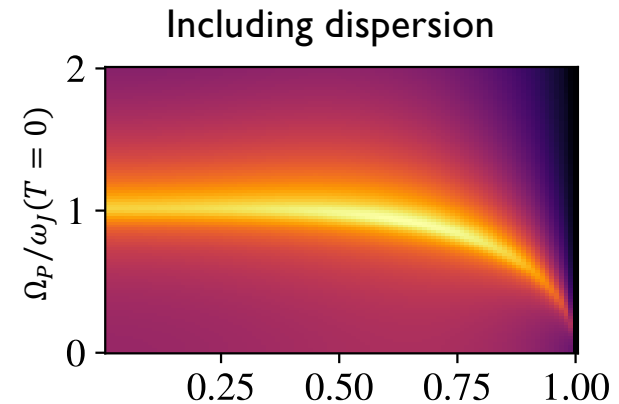
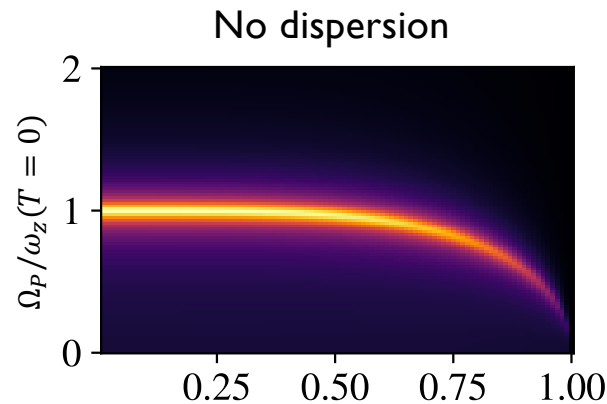
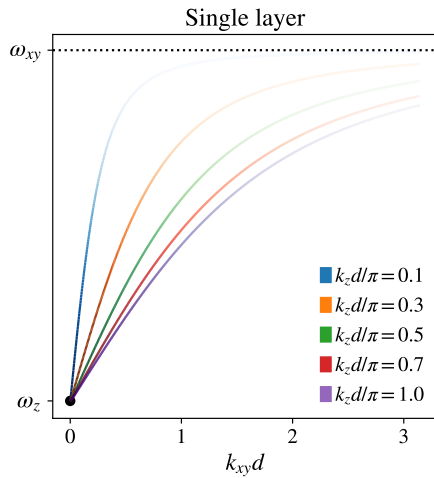
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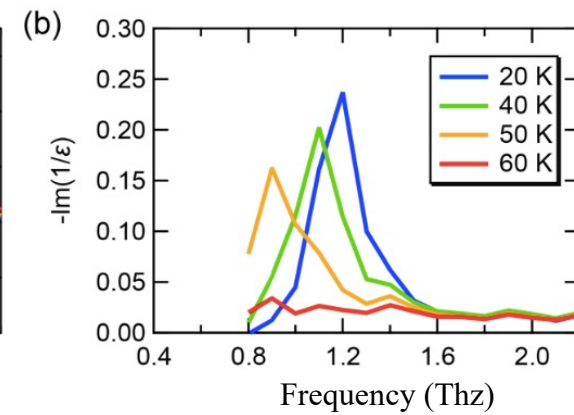
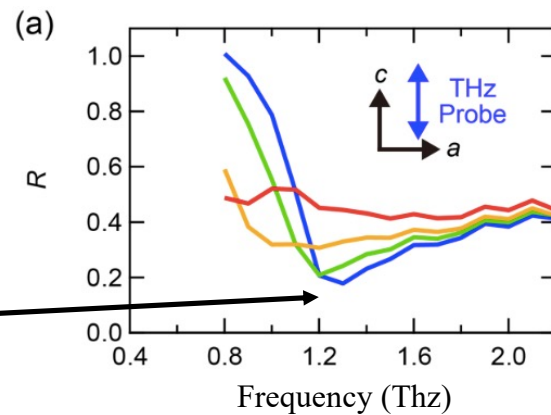
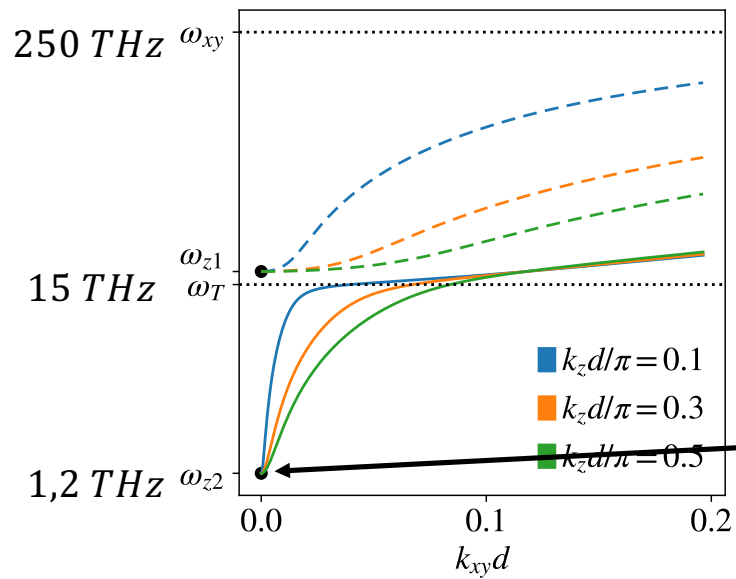
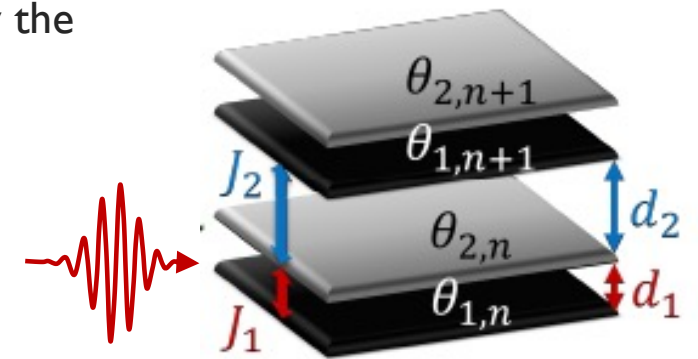
In the single-layer case the response remains peaked at  $\omega_z$



## Plasmon dispersion and polarization effects: bilayer case

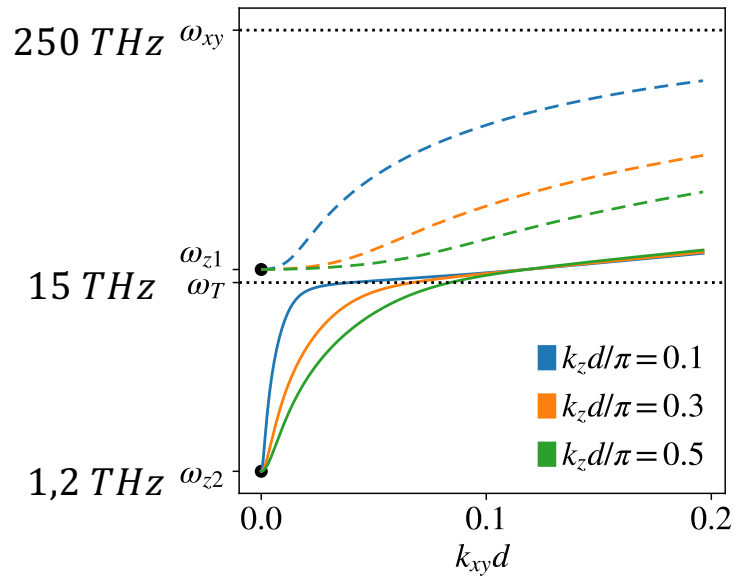
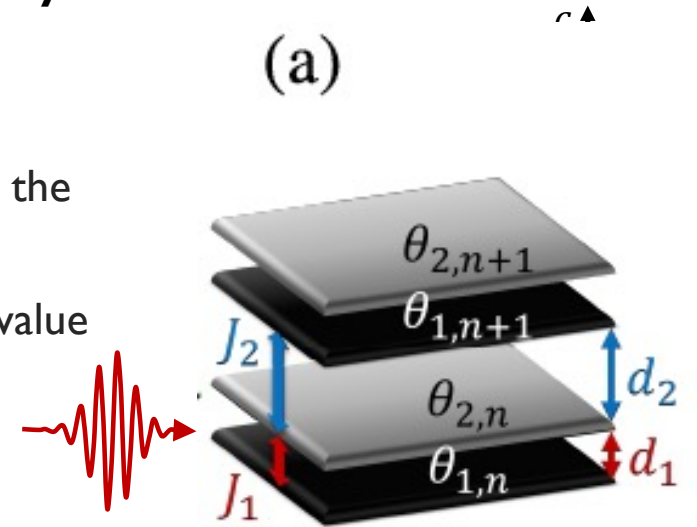
- In the bilayer case two Josephson plasmons emerge
- The reflectivity edge at  $q=0$  and the superfluid stiffness are dominated by the lower one  $\omega_{z2}$

(a)



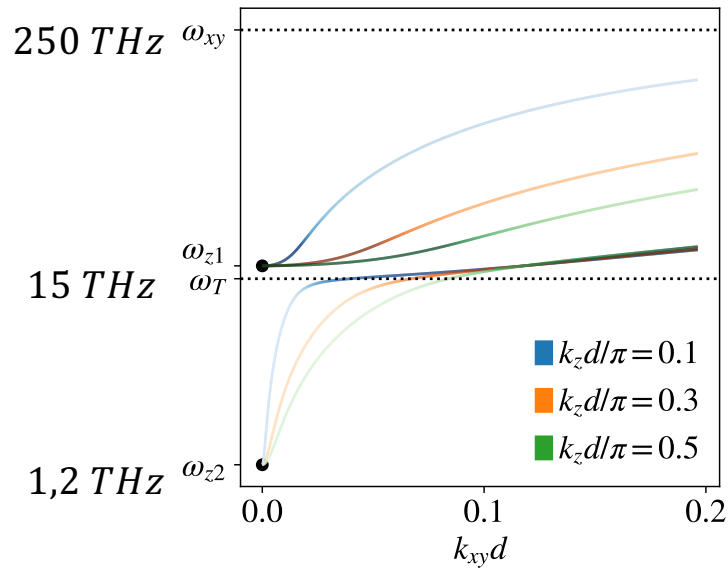
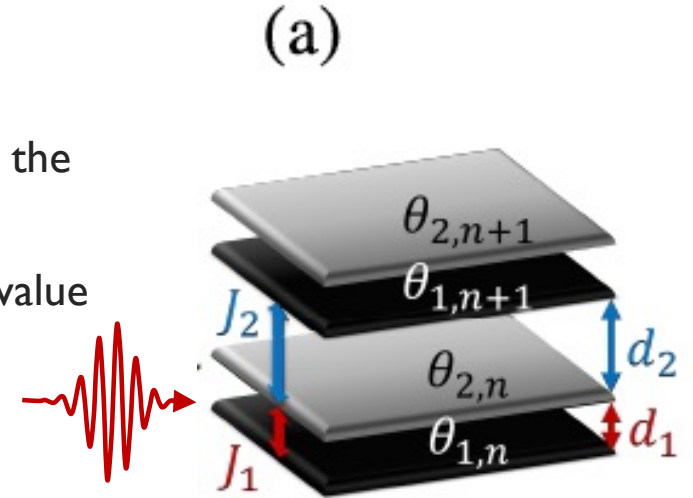
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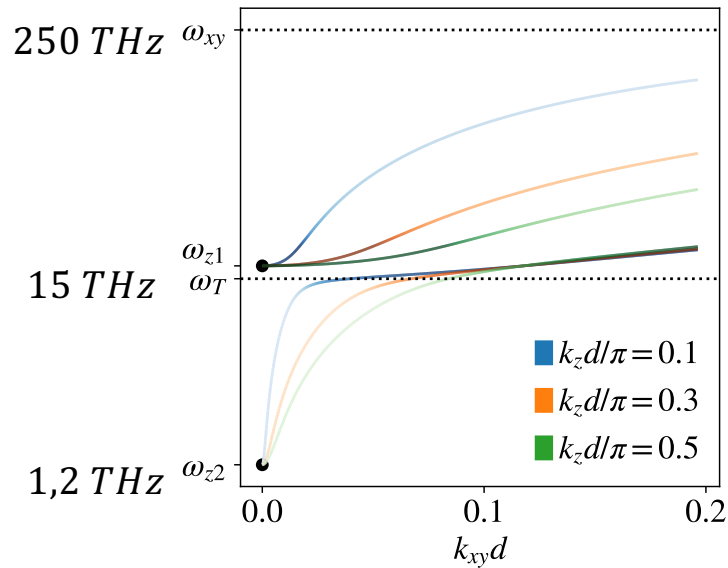
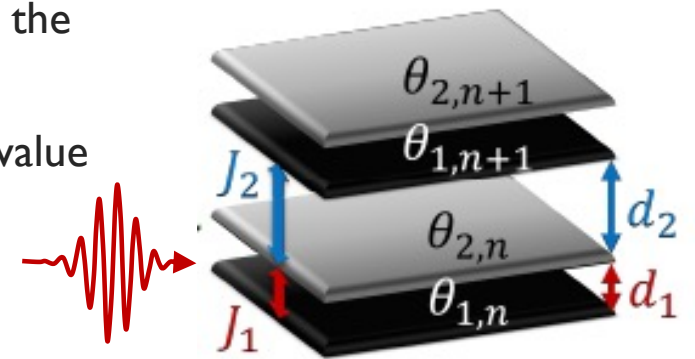
$$K_{\pm}(\Omega) = \sum_{\sigma\sigma', \mathbf{k}} W_{\sigma\sigma'} \frac{\omega_{\sigma} \pm \omega_{\sigma'} \coth\left(\frac{\beta\omega_{\sigma}}{2}\right) \pm \coth\left(\frac{\beta\omega_{\sigma'}}{2}\right)}{4\omega_{\sigma}\omega_{\sigma'} (\omega_{\sigma} \pm \omega_{\sigma'})^2 - (\Omega + i\delta)^2},$$

Projection due to polarization of the incoming light moves the spectral weight towards  $\omega_T$

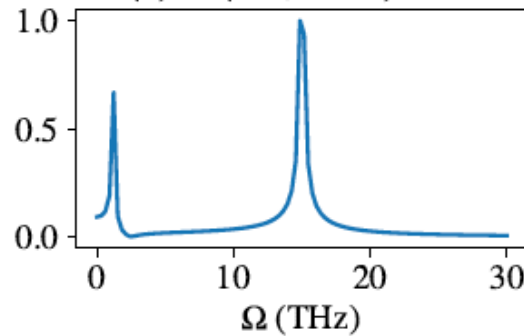
## Plasmon dispersion and polarization effects: bilayer case

- In the bilayer case two Josephson plasmons emerge
- The reflectivity edge at  $q=0$  and the superfluid stiffness are dominated by the lower one  $\omega_{z2}$
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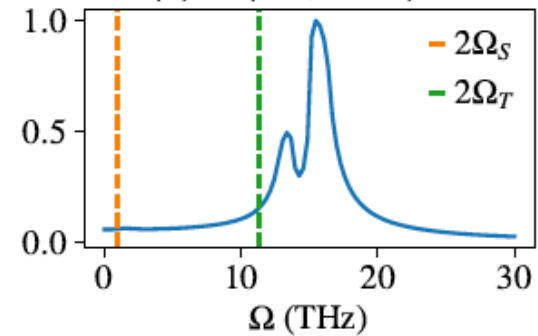
(a)



(a)  $|K(2\Omega, T=0)|$  ND



(b)  $|K(2\Omega, T=0)|$  D

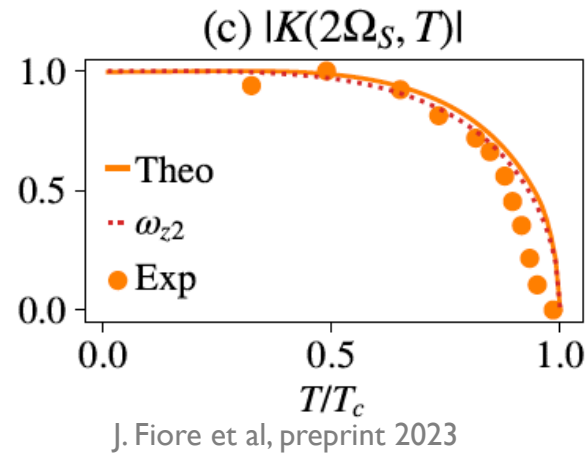
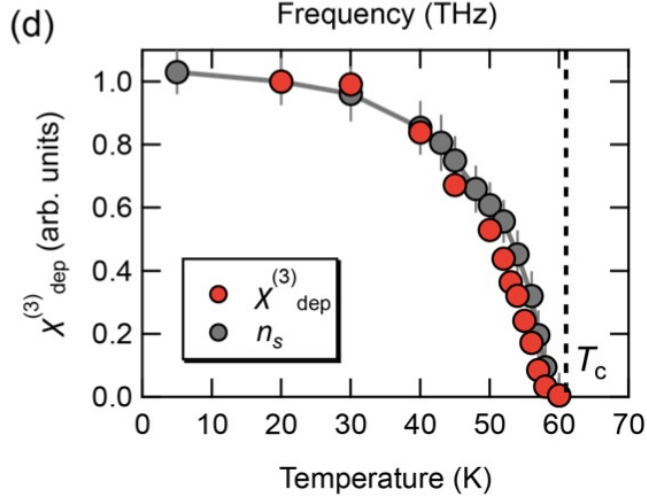
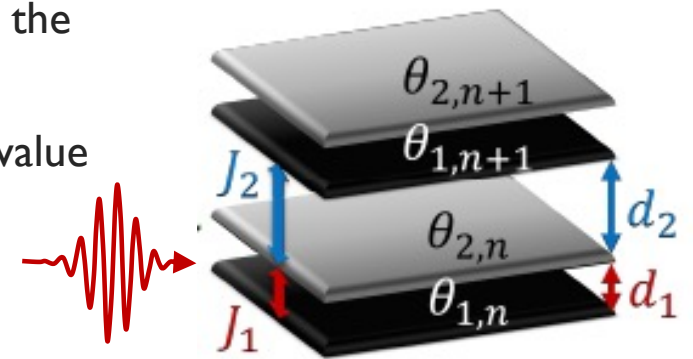


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## Plasmon dispersion and polarization effects: bilayer case

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(a)

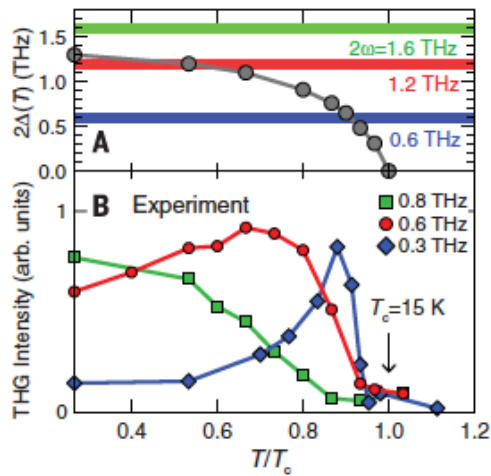


K.Katsumi et al. arXiv:2209.01633

No resonance is observed when  $\Omega_p = \omega_{z2}(T)$

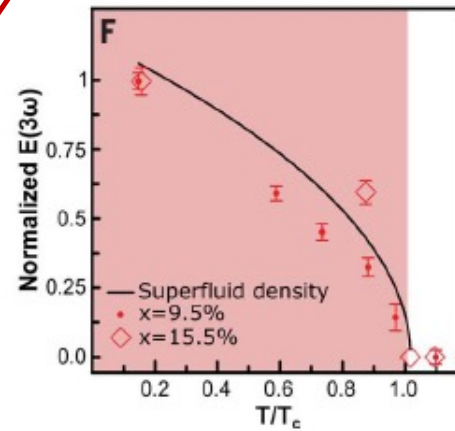
## Superconductors show large THG

- Several experiments in conventional superconductors, like NbN and MgB2, and unconventional superconductors, like cuprates and pnictides



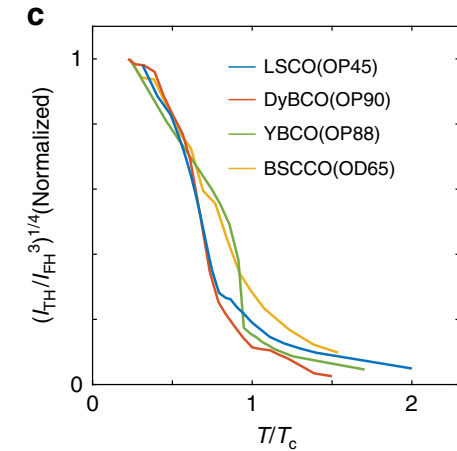
R. Matsunaga et al.,  
Science 345, 1145 (2014)

NbN



S. Rajasekaran et al.  
Science 359, 575 (2018)

$\text{La}_{2-x}\text{Ba}_x\text{SrCuO}_4$   
z-axis polarization



H. Chu et al.  
Nat. Comm. 11, 1793 (2020)

Cuprates  
 $\text{CuO}_2$  plane polarization

## Non linearity of Josephson plasmons within *microscopic* models

$$H[\theta] = -J_S \sum_j \cos(\theta_{j+1} - \theta_j) \quad J = J_S \sin(\varphi - A)$$

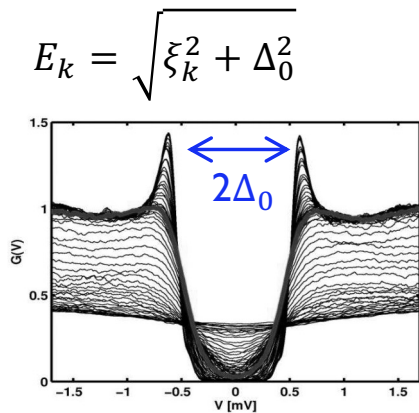
There is a single parameter  $J_S$

At microscopic level: gauge field couples to electrons. One has bare response of quasiparticles, and effective coupling functions for collective excitations

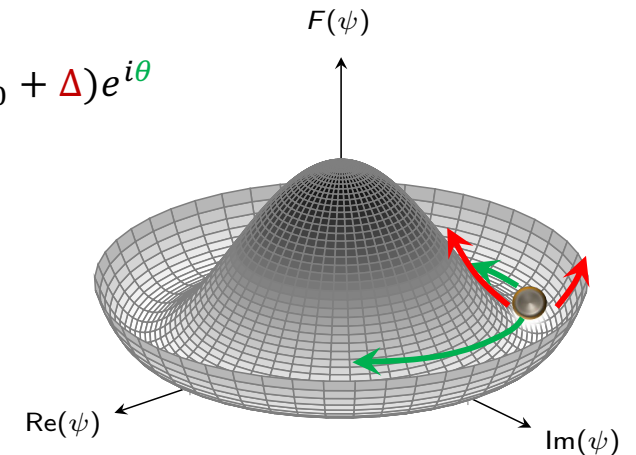
$$H(\vec{A}) = \sum_k \frac{1}{2m} \left( \vec{k} - \frac{e}{c} \vec{A} \right)^2 c_k^\dagger c_k + H_{int}$$

$$J^{NL} \sim AKA^2 \quad K = K_{BCS} + K_{Higgs} + K_{plasmon}$$

T.. Cea, C. Castellani and L.B.. PRB 93, 180507(R) (2016)  
M. Udina, T. Cea and L.B. PRB 100, 165131 (2019)



$$\langle c_{\uparrow} c_{\downarrow} \rangle = \psi = (\Delta_0 + \Delta) e^{i\theta}$$



## Non linearity of Josphon plasmons within *microscopic* models

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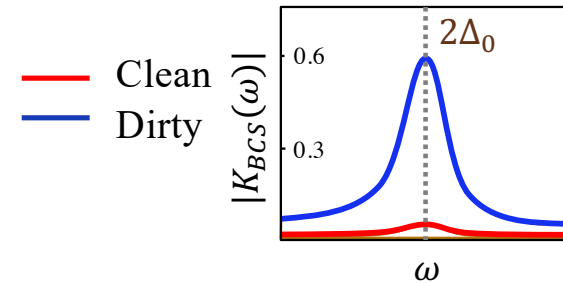
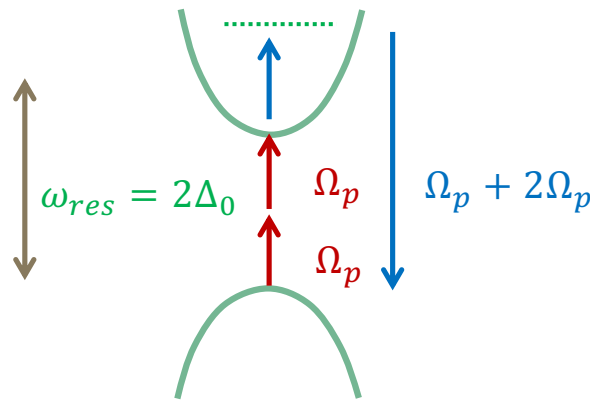
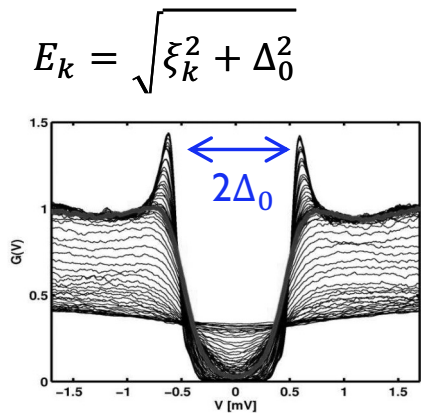
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Even weak disorder makes BCS response very strong



M. Silaev, PRB 99, 224511 (2019)

Y. Murotani and R. Shimano, PRB 97, 094516 (2019)

N. Tsuji and N. Nomura, PRR2, 043029 (2020)

**G. Seibold, L.B. et al. PRB 103, 014512 (2021)**



## Non linearity of Josphson plasmons within *microscopic* models

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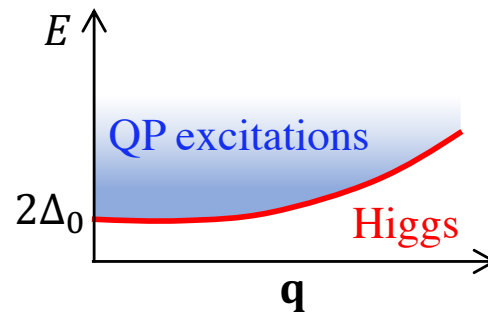
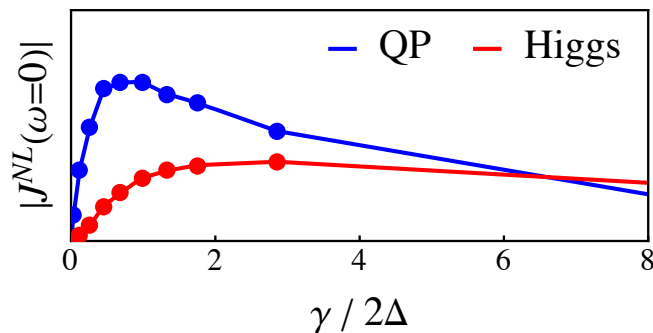
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$$J^{NL} \sim A K A^2 \quad K = K_{BCS} + K_{Higgs} + K_{plasmon}$$

Strong disorder can also increase the Higgs response mediated by electrons (but still difficult to distinguish since they occur at the same energy...)



M. Silaev, PRB 99, 224511 (2019)

Y. Murotani and R. Shimano, PRB 97, 094516 (2019)

N. Tsuji and N. Nomura, PRR2, 043029 (2020)

**G. Seibold, L.B. et al. PRB 103, 014512 (2021)**

## Non linearity of Josphson plasmons within *microscopic* models

$$H[\theta] = -J_s \sum_j \cos(\theta_{j+1} - \theta_j) \quad J = J_s \sin(\varphi - A) \quad \text{There is a single parameter } J_s$$

At microscopic level: gauge field couples to electrons. One has bare response of quasiparticles, and effective coupling functions for collective excitations

$$H(\vec{A}) = \sum_k \frac{1}{2m} \left( \vec{k} - \frac{e}{c} \vec{A} \right)^2 c_k^\dagger c_k + H_{int}$$

$$J^{NL} \sim AKA^2 \quad K = K_{BCS} + K_{Higgs} + K_{plasmon}$$

We can expect that the **effective coupling** of two-plasmon excitations depends on disorder, temperature, frequency, etc.

$$H[\theta] \sim \frac{J_s}{2} (\nabla\theta)^2 + J_{eff}(\mathbf{T}/\Delta, \Gamma/\Delta, \omega/\Delta) (\nabla\theta)^4 + \dots$$

## Conventional NbN at very large disorder, near SIT

$$H[\theta] = -J_s \sum_j \cos(\theta_{j+1} - \theta_j)$$

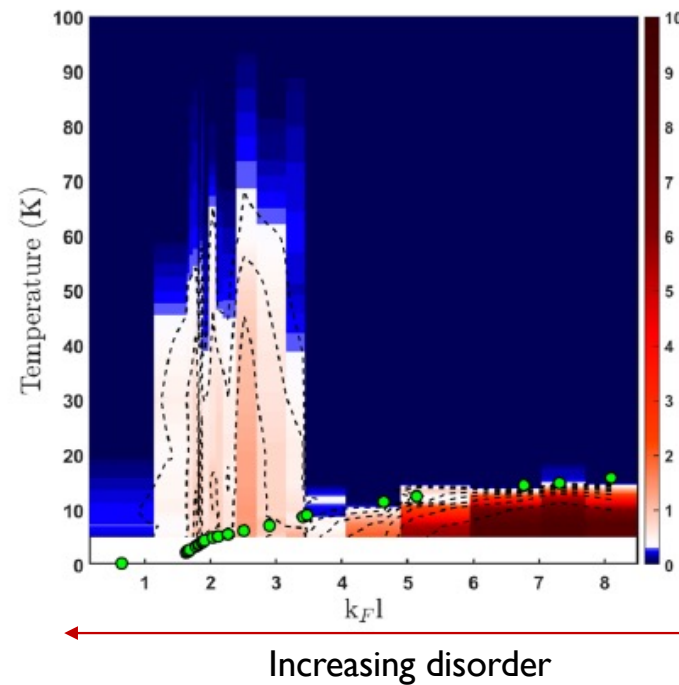
$$J = J_s \sin(\varphi - A)$$

There is a single parameter  $J_s(T)$   
When  $J_s(T)$  goes to zero one should not see any THG

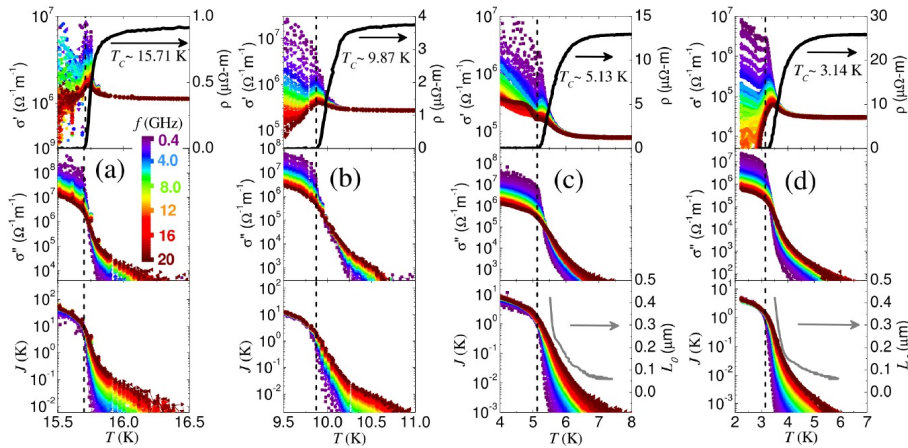
Non-linear response survives in a wide range of T  
above  $T_c$ , spectral range moves well above  $2\Delta$

### NbN samples

D. Chaudhuri et al. arXiv:2204.04203



## Conventional NbN at very large disorder, near SIT



Increasing disorder

M. Mondal, L.B. ... P. raychaudhuri, Sci. Rep. 3, 1357 (2013)

$$H[\theta] \sim \frac{J_s}{2} (\nabla\theta)^2 + J_{eff}(T/\Delta, \Gamma/\Delta, \omega/\Delta) (\nabla\theta)^4 + \dots$$

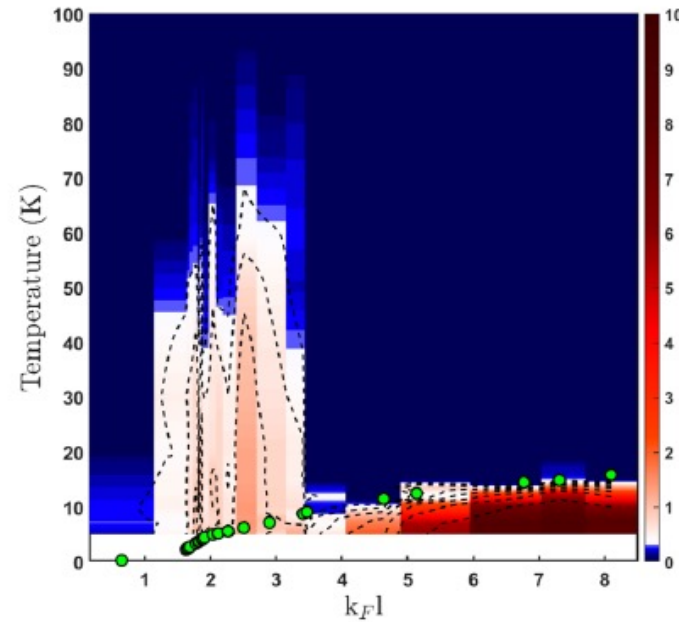
$$I^{THG}(T) \sim J_{eff}(T/\Delta, \Gamma/\Delta, \Omega_P/\Delta)$$

THz pump frequency  $\Omega_P$  much larger than  $T_C$   
 The fluctuating stiffness can remain large above  $T_C$

Non-linear response survives in a wide range of  $T$  above  $T_C$ , spectral range moves well above  $2\Delta$

NbN samples

D. Chaudhuri et al. arXiv:2204.04203



Increasing disorder

## Conclusions

Effective Josephson models seems to reproduce well features connected to soft out-of-plane plasmons

Why non-linearity should be so strong in superconductors?

Can we really separate fermionic effects (quasiparticles) from bosonic ones (collective modes)?

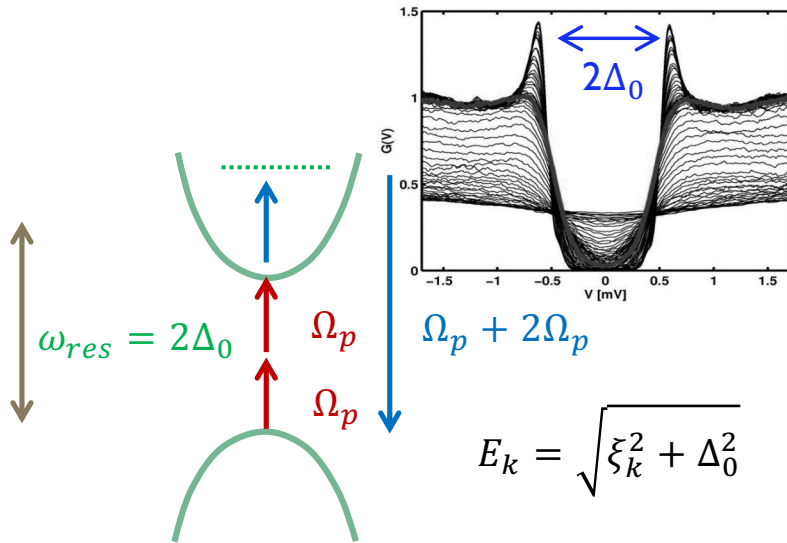
# Conclusions

Effective Josephson models seems to reproduce well features connected to soft out-of-plane plasmons

Why non-linearity should be so strong in superconductors?

Can we really separate fermionic effects (quasiparticles) from bosonic ones (collective modes)?

Modification of the *fermionic* spectrum makes available excitations at  $q=0$  in the THz range



This effect manifests *at all orders* in the response to the applied e.m. fields

$$\langle c_{\uparrow} c_{\downarrow} \rangle = \psi = (\Delta_0 + \Delta) e^{i\theta}$$

Non-linear plasmons are a consequence of this



$$H[\theta] = -J_s \sum_j \cos(\theta_{j+1} - \theta_j)$$

What about effective Josephson models for real junctions?

